

By Hannah Gardiner, Natasha Mutebi 31 January 2025

AI and mental healthcare: opportunities and delivery considerations



Overview

- Mental healthcare provision in the UK faces rising demand and workforce capacity issues. Evidence indicates artificial intelligence (AI) could support administrative tasks and provide direct support to service users.
- Innovations within 'precision psychiatry' appear to show promise for precise diagnosis, monitoring, and risk prediction of mental health conditions.
- Trials and use of AI are ongoing across the UK and internationally, with important distinctions between: AI tools built for mental healthcare in the NHS that are subject to standards and regulation; consumer products for wellbeing with less regulatory oversight; and AI tools not intended for mental health but used by people with mental health challenges.
- Stakeholders suggest delivery requires effective integration into existing care pathways and delivery infrastructure, upskilling staff, enhanced data infrastructure, and appropriate regulation and oversight. Evidence highlights the role of public trust, engagement and education.
- Stakeholders note that high-quality data is needed for product development and to generate robust evidence. They also note the need for longer-term evaluation of safety, efficacy, cost-effectiveness and operational efficiency.
- Ethical, health and legal concerns are also important, see <u>PN738</u> for information on these challenges and potential regulatory responses.

Background

Reported mental ill-health challenges are increasing^a, with data from the National Health Service (NHS) and the Office for National Statistics (ONS) showing mental ill-health affects approximately 1 in 5 young people² and adults.³ (Figure.1).^{4,5} For the month of November 2024, 1.97 million people were in contact with mental health services, with 450,180 new referrals in that month alone.⁶

Mental health services are mostly commissioned at a local level, and funding is not ringfenced, although there is a mental health investment standard as guidance (<u>CBP7547</u>).

Mental healthcare is delivered across a range of settings, including primary care and community services, although the delivery structure differs between areas due to the predominantly local commissioning (<u>CPB7547</u>).

There are notable regional and demographic disparities in who is accessing services^b.

Emerging technology including AI is a UK-wide policy area whereas healthcare is a devolved policy area. This POSTnote refers to mental healthcare delivery in England, although the research described is likely to have broad applicability across the UK.

^a Discussion around the increase in reported mental health challenges does not draw definitive conclusions on the causation. Possible explanations include: the prevalence of mental ill-health genuinely increasing, increased reporting due to a decrease in stigma, or changes in diagnosis practice having an impact.¹ There are also notable differences in population subgroups such as ethnic groups and gender.¹

^b For example, there are regional disparities in waiting times for accessing services and between the first and second treatment, there are also disparities in recovery and improvement rates for some minoritised groups, see detail in <u>CP06988</u>.

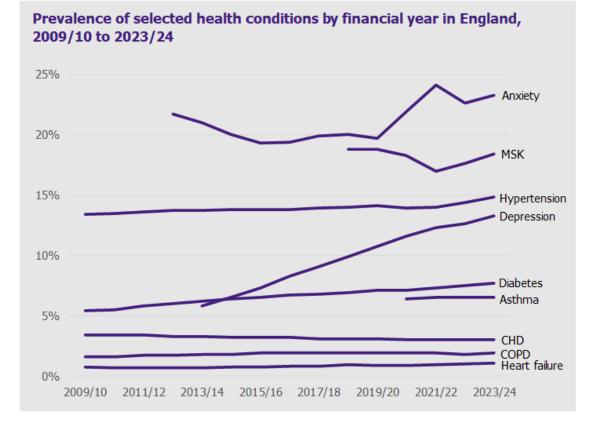


Figure 1: This figure illustrates increasing prevalence of mental health conditions as compared to other long-term conditions. Notes: Depression is reported for those aged 18+, anxiety and MSK are reported for people aged 16+, diabetes for people aged 17+, and asthma for those aged 6+. All other prevalence rates are for all ages. Data for anxiety and MSK are self-reported, rather than medically diagnosed. MSK is reported by calendar year. Abbreviations: Coronary heart disease (CHD), chronic obstructive pulmonary disease (COPD), musculoskeletal disease (MSK). Data Source: DHSC, Fingertips, Health trends in England. Adapted From the Darzi Report (2024, p.23)⁵ produced by Sonja Stiebahl (House of Commons Library).

The 2024 independent government commissioned Darzi Report, and other recent reports, outline NHS capacity challenges in the face of rising demand, with struggles to recruit and retain staff. For example, mental health nurse numbers returned to 2009-10 levels^c in 2023-24.^{5,7,8}

The NHS has various strategies to improve capacity issues,^{9–11} including digitalisation,^{9–12} which is suggested to decrease clinician time spent on administration,¹³ or to improve access through digitally-enabled therapy.¹³

A 2020 National Audit Office report on Digital Transformation in the NHS identified constraints including outdated IT systems, lack of skills, and a need for financial investment.¹⁴

However, a 2022 government consultation stated that digitalisation in healthcare accelerated since the covid-19 pandemic, thus presenting an opportunity to use advanced technologies to support mental healthcare delivery.¹⁵

^c The period of 2009-10 was prior to the UK government's austerity programme in which they reduced public spending. See also p.7 of the <u>Darzi report (2024)</u>.

Artificial intelligence (AI) tools and services are part of the broader digitalisation of healthcare (<u>PN637</u>).¹⁶ Key terms related to AI are in table 1. Policy activities related to mental health and AI are in table 2.

At present there are several standards, regulations, and guidance that apply to Digital Mental Health Interventions (DMHIs), particularly if they are supplied to the NHS.

Notably, any devices classified as medical devices are subject to additional regulatory oversight. See details of these standards, regulations and guidance in the 'Relevant Policy and Regulation' section in <u>PN738</u>. Work is currently underway to identify whether and how these should be updated given technological advances - see 'Regulatory Challenges and Responses' section in <u>PN738</u>, which also has international comparison case studies.

For detailed definitions of AI technical terms, please consult the POST AI Glossary.

Table 1 Key terms	
Term	Description
Artificial intelligence (AI)	AI technologies are tools and services which have some level of autonomy in undertaking activities, generating new predictions and decision-making without direct human control. Some of which can continue to adapt after being 'trained' on datasets. AI is an overarching category, and there are many types of AI technologies, some of which overlap or build on each other. Further detail on AI technologies: 'AI an explainer' <u>PB057</u>
Machine Learning (ML) or Predictive AI	These systems learn to find patterns in training datasets which are then typically applied to new data to make predictions, carry out processing tasks, or provide useful outputs (e.g. text translation or data modelling).
Generative AI (GenAI)	An AI model which generates text, images, audio, video or other media in response to user prompts ^d . These are advanced ML Models (currently the most common are <u>Large Language Models</u>) are trained on large amounts of data and fine-tuned by developers to create new data and outputs with similar characteristics to the data it was trained on. Generative AI can adapt and learn over time.
Rule-based AI	An alternative to GenAI is 'rule-based' ^e AI. Here the system uses a set of predetermined rules to make decisions based on logical reasoning. These are often used in systematic processes or diagnostic settings. ¹⁸

^e Rule-Based AI systems use a set of predetermined rules to make decisions (e.g. clinical standards), rather than learning from data to make decisions, this can make them more predictable and transparent but makes them less adaptable. See more <u>here</u>.

^d "A prompt is a natural language request submitted to a language model to receive a response back. Prompts can contain questions, instructions, contextual information, few-shot examples, and partial input for the model to complete or continue. From a user prompt, the model responds with generated text, embeddings, code, images, videos, music, and more."¹⁷

Opportunities and applications

Recent academic and World Health Organisation (WHO) research highlights multiple ways AI and machine learning (ML) techniques could support mental healthcare delivery,^{19–21} including:

- improved diagnosis and triage^{f 23-25}
- improving prediction of individual risks of being affected by conditions such as mood disorders, or developing outcomes such as psychosis or suicidal behaviours^{25–28}
- predicting service user response to interventions, such as responding positively to particular antidepressants or psychotherapeutic techniques^{25,26,29,30}
- personalising treatment, for example tailoring therapeutic interventions^{25,29,31}
- supporting treatment adherence, for example engaging service users between treatments^{24,30}
- supporting stratified care⁹ approaches^{33,34}
- responsive self-management support available on-demand and outside of working hours and matching people with therapists^{35,36}
- training or operational support for mental healthcare staff^{21,24,25,37–39}

Stakeholders also suggest potential benefits of accessibility, scalability, and consistency in service delivery.¹⁹

Some stakeholders suggest AI-based tools could support healthcare professionals to provide personalised care more quickly than currently, which could prevent development of severe mental illness outcomes (such as symptoms worsening or relapses), thus alleviating pressure on services.⁴⁰ See figure 2.

Other emerging uses of AI include supporting grieving processes,^{41–44} aiding social prescribing^{h 46,47} and supporting safeguarding and user engagement within digital peer support platforms.^{48,49}

There is investment in DMHI's from both private and public sectors. In 2024 the Wellcome Trust announced funding for large-scale projects (£3m-£7m each) to

^f Triage is the process of deciding the appropriate next steps for a patient, who is best placed to deliver them, and when they should happen.²² The NHS has specific guidance for <u>Digitally Enabled Triage</u>.

⁹ In stratified care approaches people are stratified by their risk profile and then put on differentiated care pathways, some evidence suggests this could be useful for depression.^{32,33}

^h Social prescribing "connects people to activities, groups, and services in their community to meet the practical, social and emotional needs that affect their health and wellbeing."⁴⁵ For example, a person being connected to a local gardening group.

develop scalable DMHIs.⁵⁰ Wellcome Trust will also be funding research and development of GenerativeAI models for applications in mental health in 2025.^{51,52} See section on 'The Development of GenerativeAI'.

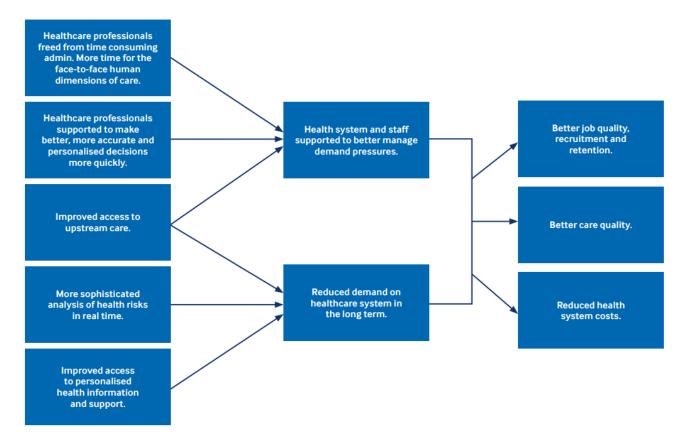


Figure 2: Potential healthcare AI outcomes, extracted from the British Medical Association report 'Principles for Artificial Intelligence (AI) and its application in healthcare' (2024).³⁸ The NHS make similar suggestions regarding potential benefits.⁵³

How autonomous?

Some public engagement and research recommends DHMIs should supplement rather than replace face-to-face delivery,^{35,36,54–64} with lived experience perspectives describing human contact as highly valuable.⁶³

Research also suggests fully-automated AI tools and services could exist in the future,^{65,66} although it highlights that GenAI is unlikely to have sufficient capacity or trust to replace healthcare professionals in the short term.⁶⁷ For example, small scale trials indicate fully-automated chatbot DMHI's could reduce symptoms of anxiety and depression.^{68–71} Academic analysis suggests such interventions could support people whilst they are on waiting lists.⁷² There are already numerous commercial 'wellbeing apps' operating outside the NHS, some of which are fully-automated, although research raises concerns around efficacy, safety and privacy.^{73–75}

Research also highlights that work is needed on formation of "digital therapeutic alliances"^{*i*}, ⁶⁵ and to mitigate risks by ensuring human oversight. ⁶⁶ Academic and lived experience analyses emphasise ensuring crisis support pathways are available for service users of all types of DMHI's. ^{54,55,76} Youth perspectives on fully-automated chatbot DMHIs emphasise ensuring transparency of whether content is evidence-based, alongside effective privacy, data protection, and consent processes. ⁷⁶

There are also legal implications if fully automated decision-making is implemented. The <u>Data Protection Act 2018</u> specifies when this can be carried out, and what protections must be in place.⁷⁷

Effectiveness for service users

Purpose-built chatbots

Individual trials evaluating tools and some systematic reviews indicate AI chatbots¹ that are purpose-built for mental health intervention could support treatment of specific conditions such as eating disorders or depression. ^{57,69,71,72,79-86} They could also enable preventative health and wellbeing measures and behaviour change.^{70,80,87} For example, an AI-based tool supporting service users between therapy sessions increased their improvement and recovery rates.⁸⁸ Individual studies evaluating tools identified convenience, flexibility of access and perceived non-judgemental characteristics as valued by users,^{89–91} although engagement relies on self-initiative.⁹⁰

Several <u>meta-analyses</u>^k conducted in 2023 and 2024 similarly found <u>statistically</u> <u>significant</u> reductions of immediate mental health symptoms, such as anxiety, when people affected by mental health challenges used AI-based chatbot tools. ^{81,85,86}

However, statistically significant results were not found for overall improvements in psychological wellbeing,⁸¹ nor for long-term effects on most mental health outcomes.^{85,86} A 2024 review found mixed results with some studies showing AI chatbot's increased service user engagement while others concluded engagement was challenging.⁸⁰

ⁱ "digital therapeutic alliance" (DTA), aims to conceptually capture and measure the therapeutic quality of online psychological therapy or digital mental health interventions.

^j AI chatbots are increasingly improving capabilities in mimicking real conversation and portraying emotions.⁷⁸ Other names include smart bots, artificial conversation entities/agents, interactive agents, and digital assistants.⁷⁸

^k A <u>meta-analysis</u> (plural: meta-analyses) is an academic research study which examines outcomes from a number of studies meeting predefined quality and relevance criteria, and uses a statistical analysis to identify if the outcomes across all of them are statistically and clinically significant. For example, in the case of AI-based chatbot apps, there might be 20 individual studies or trials which each examine the effectiveness of similar tools. The meta-analysis would look across the results of all 20 studies.

Non-purpose-built chatbots

Alongside purpose-built mental health tools aiming to supply the NHS, there are many commercially available AI products that were not designed for mental health purposes and are subject to less regulatory and governance oversight.

Studies suggest commercially available companion chatbots¹ can be useful for early or temporary intervention or wellbeing support,^{94–98} particularly for highly lonely populations.^{97,99}

However, some evidence shows chatbots could create emotional-dependence, over dependence, or addictive behaviours. They could also cause user distress if updates cause chatbots to react differently.^{96,98,100} Some stakeholders argue that creating attachments with chatbots could be detrimental to people forming human relationships.^{96,101}

Others report chatbots have helped stimulate rather than displace people forming human relationships,⁹⁹ or mixed results⁹⁴. For example, research found chatbots can provide a safe space for people to experiment and build social skills and confidence.^{96,98}

Concerns around commercial chatbot use include data protection and privacy and the potential for serious harms to the public including instances of suicide (PN738). ^{102,103}

AI-driven digital tools

Beyond chatbots, other AI-driven apps interfaces showing promise in early trials include apps supporting users to learn how to reframe negative thoughts^m,¹⁰⁴ and to develop communication and emotional management skills.⁷⁰

See examples of currently available digital tools in Table 3, which include chatbots and other interventions. Please note, although specific services are highlighted as examples, many companies offer more than one service, and many of these tools do not use GenAI but other AI, such as rules-based AI.

Additionally, AI tools built to be supplied to the NHS are subject to various criteria, standards and oversight, particularly if they classified as medical devices (<u>PN738</u>).

¹ <u>AI Companion Chatbots</u> use GenAI, they allow users to customise their own companion whom they can interact with, including use as <u>virtual lovers/partners</u>. They are not specifically designed to be mental health interventions. Specific ethical⁹² and privacy⁹³ concerns have been raised related to these products.

^m <u>Cognitive reframing</u> supports people to shift their perspectives or how they view situations, people, or relationships and is sometimes used by therapists to support people caught in negative thought patterns.

Evidence evaluation

National Institute for Health and Care Excellence (NICE)ⁿ Early Value Assessments^o were completed for DMHIs that aim to support treatment of anxiety,¹⁰⁷ depression,¹⁰⁸ and psychosis.¹⁰⁹

Most DMHIs with AI/ML integrations were considered only suitable for use within ethically approved research,^{110,111} apart from the platform CareLoop^p. NICE considered CareLoop to have more robust evidence at the time of assessment and it can therefore be used as an option in the NHS whilst further evidence is generated.¹¹²

Researchers suggest studies over longer periods of time are needed, alongside research on whether and how individual and contextual differences can impact peoples' responses to chatbots,^{65,81,86,90,94} and comparisons with DMHIs which do not integrate AI.⁸⁵

Some authors question whether the rapeutic relationships can be effective without human connection. $^{\rm 113,114}$

Many studies are currently led by or involve commercial entities, see discussion in 'The Quality of Research and Evidence' section in <u>PN738</u>.

Table 2 Examples of purpose-built tools for direct service user support

Provider	What do they offer	Examples of trial/use by UK healthcare providers
Wysa	Provide AI chatbots for several uses including: employee wellbeing, a 'copilot' to support therapists, a referral/triage app, and an app to support people's ongoing engagement in their care pathway. ^{72,91,115–117}	Lancashire and South Cumbria NHS Foundation Trust, Coventry and Warwickshire Partnership Trust, Central and North West London NHS Foundation Trust, Black Country Healthcare Foundation Trust, Dorset Healthcare NHS Trust, Whittington Health NHS Trust, Alliance Healthcare (Tees Esk and Wear Valleys NHS Trust), Mind (Hammersmith, Fulham, Ealing, Hounslow), Norfolk and Suffolk NHS Foundation Trust, Vita Healthcare

ⁿ The National Institute for Health and Care Excellence (NICE) carry out assessments of medical treatments, including technology appraisals.¹⁰⁵

^o National Institute for Health and Care Excellence (NICE) <u>Early Value Assessments (EVAs)</u> are focused on technologies in priority areas where the NHS needs to address issues such as lengthy waiting lists, such as mental health. EVAs offer guidance to NHS about use of and potential value of technologies, so they can start to benefit from them whilst more evidence is generated.¹⁰⁶

^p The CareLoop platform provides healthcare workers real-time symptom insights to inform decision making on treatment and management options. Prediction using proprietary AI within the platform identifies potential markers of escalation for early intervention and prevention.

Iona Mind	Provide low intensity self-paced CBT ^q through an online or mobile phone- based app, with a care team available 24/7. ¹¹⁸	Unum Group, Aptar Pharma, Office for Veterans' Affairs, NHS Talking Therapies ¹¹⁹
CareLoop	Provide digital tools including a mobile phone app to predict, avoid and minimise mental health crises. ^{120–123}	Greater Manchester Mental Health Foundation Trust
IESO	Therapists deliver treatment, supported by online questionnaires to monitor progress, and a chatbot referral form (in partnership with Wysa). ^{124,125}	NHS Kent and Medway Integrated Care Board, Dorset Healthcare University NHS Foundation Trust, South West London and St Georges Mental Health NHS Trust
Kooth	Provides online peer support through forums, evidence-based resources, and live counselling with human therapists. Has developed an algorithmic tool to supporting content moderation tasks. ⁴⁹ Anonymised data is also provided to partners to effectively address mental health needs. ^{49,126–128}	Partnering with NHS trusts, Integrated Care Systems (ICS), and local authorities across England Scotland and Wales (further detail not disclosed)

AI support in health systems operations and training

Researchers, trade unions and the WHO suggest AI technologies could support administrative tasks and medical or nursing education (PN637),^{129–132} with unique opportunities for mental health staff training.^{24,61,133} Tools are being trialled by many NHS trusts (examples in Table 4, note that there is cross over between these tools and the clinical decision support tools in table 5, and many companies offer more than one service).

NHS Talking Therapies^r guidance promotes digitalisation but states paper, email and phone services should be maintained.¹³⁵ NHS services deploying tools to streamline administration tasks and alleviate staff shortages^{132,136} describe adhering to this guidance by maintaining multiple access options.¹³⁷

Industry-led evaluation of implementing an AI referral tool found time savings of 15 minutes per referral (3000 hours pro-rata), and ~20% signposted to more appropriate services, thereby saving additional time.¹³⁷ It also identified a 9%

^q <u>Cognitive Behavioural Therapy (CBT</u>) is a talking therapy which supports people to change the way they think and behave.

^r <u>The NHS Talking Therapies programme</u> (for anxiety and depression) was formerly known as Improving Access to Psychological Therapies (IAPT). It was "developed to improve the delivery of, and access to, evidence-based, NICE recommended, psychological therapies for depression and anxiety disorders within the NHS"¹³⁴

increase in referrals over a 3 month period (~14,000 service users),⁸⁹ and additional benefits of accessibility outside working hours³¹ and improved reliable recovery^s rates of service users.¹³⁹

There is also cross-sector interest in emerging AI-enabled voice recognition technology,^{132,140} with hopes to mitigate high workloads and clinician burnout, for example, by products automatically producing draft documentation such as reports.¹⁴⁰

However, a 2024 review on use of AI across healthcare concluded operationefficiency increases are inconsistent and more research on this is needed.¹⁴¹ Healthcare trade unions highlight AI could reduce job pressure, but conversely could create new pressures by reducing 'easy' routine work leaving only complex tasks.^{38,132} See also the section on 'AI tools supporting clinical decision making'.

Table 3 Examples of tools to automate and support workflows		
Provider name	Provider solution	Examples of trial/use by UK healthcare providers
Censeo	A chatbot to support referral processes. They have also demonstrated feasibility of combining blood biomarkers and questionnaire responses for diagnosis. ^{142–}	NHS Hertfordshire Partnership University, Devon Partnership NHS Trust, Sussex Partnership NHS Foundation Trust, Living Well Consortium UK, Mental Health Matters.
Anathem	Their tool uses ambient voice recognition to autogenerate reports from appointments. Preliminary results appear positive but have yet to be published.	Central and North West London NHS Foundation Trust, Great Ormond Street Hospital for Children NHS Foundation Trust and Berkshire Healthcare NHS Foundation Trust.

Precision Psychiatry

Precision psychiatry^t is an emerging approach that aims to innovate current psychiatry practices by leveraging advances in AI/ML data processing capabilities along with the availability of large and diverse datasets.¹⁴⁶ Academic analysis also suggests AI could contribute to preventative approaches to mental healthcare delivery ('salutogenesis^u)', rather than only treating illness.^{147,148,149}

^s <u>Reliable Recovery</u> is an outcome measure used by the NHS, it means a patient has "moved from being a clinical case at the start of treatment to not being a clinical case at the end of treatment, and there has also been a significant improvement in their condition.¹³⁸

^t The umbrella term Precision Psychiatry refers to approaches related to four P's of making diagnosis, treatment and prevention "more personalized, proactive, predictive, and precise".¹⁴⁵ See figure 3.

^u Salutogenesis is a health system theory and orientation to healthcare delivery which argues "that life experiences and how an individual views their life (either positively or negatively) influences their health," takes into account social determinants of health, and encourages health professionals to support service users to strengthen their resources and be empowered to maintain or positively increase their health.¹⁴⁷

Enhanced detection and monitoring of mental health conditions

Technology enabled data collection

Research highlights smartphones, social media, online platforms and new wearable technology offer possibilities for continuous and passive data collection, enabling service user monitoring for prediction and personalisation of mental health interventions.^{35,65,150-166} Digital phenotyping^v can be delivered by collecting and analysing multiple types of data to monitor people's behaviour.^{151,160} At present, commercial products delivering monitoring of mental wellbeing exist,¹⁶⁸⁻¹⁷⁰ though academic studies have raised questions about their accuracy, validity and safety, including privacy concerns.¹⁷¹⁻¹⁷³

Academic and third sector perspectives highlight opportunities to analyse financial transaction data and identify people who may be struggling with their mental health so proactive interventions can be offered.^{174–176} There is a two-way relationship between financial and mental health struggles, and people facing either or both can struggle to ask for support (<u>PN732</u>), so this could reduce barriers to access.

Third sector research into lived experience perspectives found high levels of scepticism about digital phenotyping amongst some, including concerns of threats to data and privacy, and that the approach could lead to service users having less power over their treatment and support.⁶³ Some academic analysis raises similar concerns.¹⁷⁷

Using AI to analyse text data

In recent years the capabilities of AI/ML to process text have improved^w, and academic studies highlight new opportunities to analyse text-based data that could enhance delivery of psychiatry practice and carry out research reviews.^{178–182} Academic research highlights particular promise from analysing electronic health records¹⁸¹ or text data produced within controlled environments like online forums or chatbot interactions (as opposed to social media).¹⁸⁰ One UK-based company has already successfully used AI techniques to support moderation of their online peer support forums.⁴⁹

Using diverse data sources to enhance psychiatric practice

AI/ML data processing capabilities can also support diagnosis and prediction (for example, risk of suicide) by analysing large datasets from both clinical testing and real world monitoring using diverse types of data (see Figure 3).^{37,153,159,183–189} Academics aim to develop clinically relevant biological or digital

^v Digital phenotyping is defined as "using data from sensors and interactions on personal digital devices to measure behaviour"¹⁶⁷

^w This is related to the development of <u>Large Language Models' (LLMs</u>), which are AI models trained on vast amounts of data (<u>Foundation Models</u>) and which leverage advancements in <u>Natural Language</u> <u>Processing</u>' (NLP) so they can receive inputs and create outputs in natural written or spoken language. Advancements in NLP have also contributed to opportunities.

biomarkers^x,^{151,184,191,192} and redefine the classification, diagnosis and treatment of mental ill heath using these biomarkers.¹⁹³

However, researchers raise concerns about the sample sizes, quality, <u>generalisability</u>, and lack of <u>external validation</u> in current trials of the proposed precision psychiatry methods.^{26,146,188,194–197}

Research into lived experience perspectives found some positive outcomes on ML supporting people to understand their own conditions better, or population level insights, but also uncovered concerns around the ability of ML to capture the complexity of human experiences, particularly the wider determinants of (mental) health⁹.⁶³ Another study found service user participants raised concerns about being judged on their genetics (for example their parents' disorders).¹⁹⁹

In 2022, Wellcome Trust invested £12.5m in the CONNECT study, testing use of ML and active and passively collected data (via wearables and smartphones) to detect early warning signs of relapse into psychosis.^{200,201}

In 2024, UKRI funded a project using AI to analyse multiple types of data and novel data collection to support diagnosis and treatment of people with Borderline Personality Disorder.^{202,203} A large-scale study exploring detection and treatment of psychosis using AI-tools is also in progress in Germany.²⁰⁴

^{*} Biomarkers are a measurable indicator of a condition, historically this would have been a biological sample such as a chemical marker in blood. As digitisation has spread across healthcare and health research, the concept of digital biomarkers arose, these are defined "to be a characteristic or set of characteristics, collected from digital health technologies, that is measured as an indicator of normal biological processes, pathogenic processes, or responses to an exposure or intervention, including therapeutic interventions."¹⁹⁰

^y "The wider determinants of health are a diverse range of social, economic and environmental factors which influence people's mental and physical health. Systematic variation in these factors constitutes social inequality, an important driver of the health inequalities"¹⁹⁸

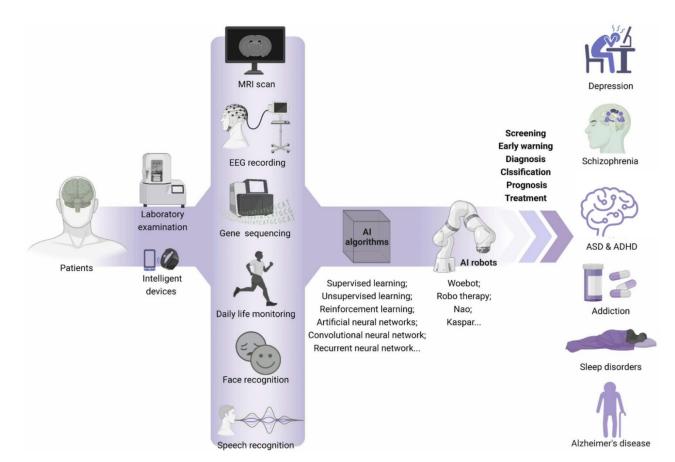


Figure 3: The potential for integrating AI technologies and multimodal data into psychiatry, extracted from Sun et al. (2023)²⁰⁵

Table 4 Examples of tools to support clinical decisions

Provider solution	Examples of trial/use by UK healthcare providers	Examples of empirical evidence
Limbic	A chatbot streamlining mental health referral processes. It was the first UKCA class IIa medical device certified tool for its functionality for mental health diagnosis support. ^{206,207} Examples of evidence ^{31,34,89,137,139,206– 208}	Everyturn Mental Health, Essex Partnership University NHS Foundation Trust, Surrey and Borders Partnership NHS Foundation Trust, Nottinghamshire Healthcare NHS Foundation Trust, Lincolnshire Partnership NHS Foundation Trust, Living Well Consortium UK, Mental Health Matters. Note that they also offer Limbic Care, CBT-based ^z provision directly supporting service users. ⁷⁹

^z <u>Cognitive Behavioural Therapy (CBT</u>) is a talking therapy which supports people to change the way they think and behave.

Predictix: identifying potential service user responses to treatment	Mainly trialling in Europe. Feasibility research has just been completed at Rotherham Doncaster and South Humber NHS Foundation Trust ²⁰⁹	Predictix founders published several studies demonstrating feasibility of applying ML to predict service user responses to depression treatment. ^{210,211} A small-scale (n=19) feasibility evaluation in the UK found Predictix recommendations were followed by GPs in 86% of cases, and its use was rapid (~20 minutes). ^{209,212} However, the authors recommend identifying how and where Predictix fits into clinical pathways, and that thorough clinical effectiveness and health economic evaluations are needed. ²¹²
Psyrin: use of voice biomarkers to detect risks	No evidence of current UK trials was found.	In an academic study of 1140 individuals Psyrin demonstrated feasibility of screening for schizophrenia, bipolar disorder and major depressive disorder using voice biomarkers from speech alongside demographic information. ^{213,214} One of their stated aims is to support GPs to diagnose people. ²¹⁴ (Note: this trial did not involve NHS patients, and was delivered by Psyrin founders with others.)

Table 5 International examples of tools being used and trialled

Provider solution	Country	Examples of evidence
The Trevor project: provides suicide prevention and crisis intervention for LGBTQ+ young people, with trained counsellors available 24/7	United States	In 2021 Trevor Project launched 'Crisis Contact Simulators' which are AI personas used to support counsellor training, ²¹⁵ alongside an AI-powered crisis risk assessment tool. ²¹⁶ They estimate this has supported them to train 1000+ additional volunteers. ²¹⁷
CLOVA CareCall: A public health intervention utilising advanced ML models to provide social care to those living alone (launched in 2022)	South Korea	 Research in 2023 highlighted benefits of GenAI models^{aa} for delivering automated check-in calls at scale to those identified as at risk of social isolation, loneliness and related health issues,²¹⁸ including: automated risk detection through analysis of chat interactions
		 proactive identification of challenges, enabling escalation to human intervention where necessary but with less human capacity needed

^{aa} In this case those used were specifically <u>Large Language Models' (LLMs</u>), which are AI models trained on vast amounts of data (<u>Foundation Models</u>) and which leverage advancements in <u>Natural Language</u> <u>Processing'</u> (NLP) so they can receive inputs and create outputs in natural written or spoken language. Advancements in NLP have also contributed to opportunities.

 mitigating loneliness and the emotional burden of those contacted; some describe looking forward to the check-in

Challenges included the GenAI chatbot agent giving inappropriate responses such as making false promises to meet people.²¹⁸ The developers are attempting to mitigate this.²¹⁸ (PN738)

Using Generative AI to support delivery

The recent development of GenAI has created new possibilities and benefits to enhance digital mental healthcare delivery including:

- enhancing capabilities for early detection and monitoring of mental ill-health
- creating personalised and emotional chatbot responses during delivery of mental health and wellbeing interventions; and supporting delivery of diverse therapy types^{61,219,220}
- augmenting therapist work,^{219,221} for example by engaging clients, in between sessions or therapists integrating AI-generated images, text, or audio into online or in-person sessions (such as when delivering writing therapy^{bb} or other types of arts-based therapies^{cc})⁶¹
- increasing the efficacy of interventions to reduce symptoms⁸¹
- enhancing synthetic data^{dd} creation^{61,220}, such as model data which can be used to mitigate bias in real data

One example is GenAI enhancing avatar therapy^{ee} which could benefit people experiencing visual or auditory hallucations.^{223,227–229} Although a 2020 review found

^{bb} <u>Writing therapy</u> involves a professional providing specific prompts to exercises to guide service users to use writing to analyse thoughts, feelings, or events.

^{cc}<u>Arts-based therapy</u> can use any type of creative activity or media, and involves the therapist supporting the service user to understand more about themselves and their experiences and feelings through engaging with the creative processes.

^{dd} "Synthetic data is data that has been generated using a purpose-built mathematical model or algorithm, with the aim of solving a (set of) data science task(s)."²²² It is proposed as a possible solution to privacy challenges and to support tackling bias in unrepresentative model training data.²²²

^{ee} "Avatars are digital animations or self-representations that allow users to interact in a virtual environment... Furthermore, they can be personalized so that they are more realistic and are as similar as possible to the patient using them, which helps patients to better identify with them"²²³ <u>SPARX</u> is an example of an avatar and gaming-based e-therapy program for young teenagers with depression. It has been successfully delivered since 2009 in New Zealand,²²⁴ with measurable improvements in depression symptoms.^{225,226} It is intended as treatment for mild to moderate challenges, not as a replacement for therapy.⁵⁸

outcomes inconsistent and state longer, larger-scale and independent studies are needed. $^{\rm 230}$

It's also suggested GenAI could support delivery of exposure therapy^{ff} and that interacting with AI generated characters could offer deeper personalisation with benefits for the delivery of wellbeing interventions or peer support.⁴⁴

Key considerations for delivery of AI tools in mental healthcare

There are additional ethical, social, and legal responsibilities to be considered, these are discussed in <u>PN738</u>.

Infrastructure and strategies to integrate AI across healthcare operations

Stakeholders suggest the need to improve infrastructure for successful implementation, which requires sufficient funding.^{14,231} This includes data infrastructure.^{16,232,233} They also propose creating a cohesive strategy to ensure the benefits of AI are realised across the NHS, rather than in isolated geographic areas where inconsistent decisions have been taken to procure AI systems (See tools being trialled across different NHS Trusts in Tables 3-5).²³⁴ Additionally, stakeholders highlight the need for public-private partnership or public sector alternatives as the majority of trained datasets for generative AI systems are owned by private industry owners, and access to training such data can be very expensive.²³⁵

Designing AI systems and services

To optimise the benefits from AI systems, cross-sector stakeholders recommend ensuring clarity on where AI-technologies are appropriate,^{38,236} and considering usability and different user populations.^{36,80,151,237,238} For example, generalised tools could be inappropriate for military personnel who face unique stressors²³⁹, and use specific types of language.⁴¹ Industry and regulators also highlight possibilities to design AI tools for more ethical outcomes, such as the principle of 'Privacy by Design' which encourages developers to build in data protection measures from development stages.^{240,241} See 'Designing Ethics into AI Systems' in <u>PN738</u>.

Stakeholders suggest revisiting service design to ensure mental healthcare services are delivered properly while leveraging AI's capabilities, and potentially redesigning services.²⁴ ^{242,243} Although other stakeholders highlight the importance of effectively integrating AI within existing healthcare systems and clinical workflows.^{36,80,151,237,238}

Upskilling staff

The need to upskill the UK workforce in digital technology is nationally recognised, and actions are being taken (<u>PN637</u>).²⁴⁴ However, the Health and Social Care Committee identified challenges in digital transformation across the entire NHS

^{ff} Exposure therapy can support people to reduce anxiety or fear of objects, activities or situations.

workforce including the need to upskill staff and difficulty competing with the private sector to fill specialist roles.²⁴⁵ This may also relate to the lack of data science skills across the UK (<u>PN697</u>).

The Topol review (2019) and NHS reports suggest staff should fully understand AI issues such as validity, accuracy,²⁴⁶ and building 'appropriate confidence'.²⁴⁷

Digital Fellowships upskilling staff to lead digital innovation are now provided to small cohorts of clinical and non-clinical staff working in the NHS or Social Care in England.²⁴⁸ In 2023 the NHS published an AI capability framework to support building digital skills across its workforce.²⁴⁹

Evidence on cost-effectiveness

Academic and government reviews conclude there is limited evidence examining costeffectiveness or economic evaluation.^{221,250–253} Stakeholders describe risks of ensuring long-term financial sustainability,¹⁹ highlighting costs of maintenance, updates and staff training.^{253–255}

Recent research by DMHI providers includes:

- Modelling the healthcare costs of 27,540 service users with mood and anxiety disorders suggested CBT delivered online⁹⁹ is cost-effective as service users access treatment sooner (reducing pressure on other medical services) and complete the treatment more quickly with similar effectiveness.²⁵⁶
- Cost-effectiveness of a referral tool^{hh} for talking therapies services over four months was estimated as £188.25-£221.89 for every extra person who recovered. 58,475 service users were included in the analysis.¹³⁹
- Analysis of a cohort of 2,160 children and young people who accessed a webbased platform combining peer support and therapy serviceⁱⁱ over 12 months estimated a combined NHS and crime sector saving of £469,237.²⁵⁸ This approach appears to take a broader systems view than often taken under the current NICE economic analysis guidance which specifies impacts on health and personal social services (4.2.7), although the guidance does specify that in exceptional circumstances the costs to other government agencies could be presented (4.4.22).²⁵⁹

Some have also suggested technical or workflow-related approaches to reducing cost.²⁶⁰ Third sector research into lived experience perspectives found concerns that cost savings would be the primary consideration for introducing new digital

⁹⁹ The intervention involved a 'stepped care' model in which people with milder symptoms were first signposted to a low-intensity more self-directed intervention, and those with more severe symptoms or complex needs to a higher-intensity intervention where there were more therapist-delivered online sessions.²⁵⁶ The DMHI did not use AI.

^{hh} This referral tool includes uses a chatbot, and <u>now incorporates GenAI technology</u>.

ⁱⁱ This platform incorporates AI to support safeguarding by assisting the work of moderating online content.^{49,257}

technologies, alongside hopes that technologies could broaden the range of support available, rather than replace human support.⁶³

Public engagement and trust

Academic and NHS stakeholders describe lack of service user and staff trust^{jj} and digital disengagement as risks to implementation. Responsible delivery and public engagement was suggested as a mitigation (<u>PN725</u>).^{19,62,130,263–265}

A 2024 survey found that although the majority were supportive of AI use in healthcare, 1 in 6 members of the public (out of 7,201) and 1 in 10 NHS staff (out of 1,292) think it will make the quality of care worse.⁶²

Studies indicate people are less likely to trust AI unless privacy, confidentiality and data security are efficiently addressed and communicated to them.^{60,64,266}

Academic and international stakeholders suggest standardised legal frameworks to govern AI tools and systems could enhance user trust and social acceptance.^{267,268}

There are existing legal frameworks that apply to AI systems (Table.3). Regulatory agencies are currently considering revisions or additional guidance for AI technologies ('Regulatory Challenges and Responses' section in <u>PN738</u>). Stakeholders also highlight that trust in the institutions and people deploying AI tools is important.^{265,269}

Academic and clinical stakeholders also highlight that the AI systems themselves need to be trustworthy.^{55,261}

The risks of staff and patients trusting or relying on AI outputs too much must also be mitigated through due diligence.^{53,236,270–272}

Participation and co-design

Stakeholders emphasise the benefits of engagement or co-design of AI tools with both clinicians and people with lived experience and diverse identities,^{27,55,61,63,264,273– ²⁸⁸ during all stages of developing products, standards^{55,133,273,274,279,289} and governance.²⁷⁸ This includes benefits in terms of shaping the agenda and building trust.^{55,63,290,55 265}}

Deliberative approaches^{kk} are also proposed to support responsible innovation and public acceptability of AI.²⁹¹ The Ada Lovelace Institute is developing tools and

^{jj} Academic analysis highlights both trust and trustworthiness (being worthy of trust) are important, and that trust can be divided into multiple factors such as: trust in others truth claims, trust in others commitments to do what they say they will, and trust in others competence to carry out actions.^{261,262} They highlight the importance of defining 'who' and 'what' is being trusted.²⁶¹

^{kk} Deliberative approaches would involve AI experts exploring and debating these issues with different types of stakeholders over a period of time.²⁹¹ "Deliberation is an approach to decision-making that allows participants to consider relevant information from multiple points of view. Deliberation enables participants to discuss the issues and options and to develop their thinking together before coming to a view, taking into account the values that inform people's opinions."²⁹²

resources to support public involvement in AI-related policy making and development. $^{\rm 293}$

Education and awareness

A 2024 study found that higher levels of AI literacy were linked to higher levels of trust, particularly in safety-critical scenarios such as healthcare.²⁹⁴

Researchers argue that if clinical practitioners have insufficient knowledge of AI systems, they cannot support service users to make adequately informed consent choices.^{295,296} Researchers therefore recommend targeted education initiatives^{II}.^{59,294,303}

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^{II} Some suggest the ability to ask the right critical questions is important, and adults need to 'play' with AI to understand it.²⁹⁷ Many free online resources for AI education exist^{297,298} ^{299–301} as well as steps to incorporate as part of public engagement.²⁶⁵ Similar suggestions have been made for other emerging technologies, such as the metaverse.³⁰²

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Contributors

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Members of the POST board*

Aynsley Bernard, Kooth

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Alli Smith, Office for Life Sciences

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Dr Gwydion Williams, Wellcome Trust*

Dr James Woollard, Oxleas NHS Foundation Trust, NHS England*

Andy Wright, Everyturn Mental Health

Emeritus Professor Jeremy Wyatt, The Governance in AI Research Group (GAIRG)*

Information Commissioners Office*

The Joint Digital Policy Unit (a joint unit between the Transformation Directorate in NHS England, and DHSC)*

*denotes people and organisations who acted as external reviewers of the briefing. Some of them were also part of the interview contribution process. Note that contributors are listed in alphabetical order by surname. The Parliamentary Office of Science and Technology (POST) is an office of both Houses of Parliament. It produces impartial briefings designed to make research evidence accessible to the UK Parliament. Stakeholders contribute to and review POSTnotes. POST is grateful to these contributors.

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